**Alabama Athlete Agents Regulatory Act -- Application for Registration as Athlete Agent.**

**ALABAMA ATHLETE AGENTS REGULATORY ACT**

**APPLICATION FOR REGISTRATION**

**AS AN ATHLETE AGENT**

**THIS IS AN APPLICATION FOR TEMPORARY AUTHORIZATION GRANTED PURSUANT TO SECTION 10 OR THE ALABAMA ATHLETE AGENTS REGULATORY ACT OF 1987 TO ACT AS AN ATHLETE AGENT AS DEFINED IN SAID ACT.**

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, SSN: \_\_\_\_\_ of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Business Address and Affiliation, if any)......................(Zip Code)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby apply for registration as an

(Telephone)

**ATHLETE AGENT** (hereinafter referred to as AGENT) pursuant to **THE ALABAMA** **ATHLETE AGENTS REGULATORY ACT** (hereinafter referred to as **ACT**) ß 8-26-1 through ß 8-26-41, Code of Alabama, 1975 as adopted effective January 1, 1988.

In advance of filling out and signing this application, I have read the above-referred **ACT** and fully understand its contents.

I am fully aware of NCAA rules as they pertain to amateurism and agent relationships, specifically NCAA Constitution Article Three, Section I.

In submitting this application, I voluntarily agree to comply with and be bound by those statutory provisions of said **ACT** (including but not limited to the maximum fee schedule) and which are incorporated herein by reference, any subsequent amendments thereto, and all rules of conduct promulgated by the **ALABAMA ATHLETE AGENTS REGULATORY COMMISSION** (hereinafter referred to as **COMMISSION**).

I agree that if I am granted registration, I will save and hold harmless the **COMMISSION**, its officers, employees and representative from any liability whatsoever resulting from my acts of commission or omission in providing services to any **ATHLETE** in connection with his individual compensation, negotiations with any professional sport or professional sports team or in connection with any subsequent enforcement of such individual contract.

I agree that if I am denied registration or if subsequent to obtaining registration, it is revoked or suspended pursuant to said **ACT,** the exclusive method for appealing any such action is through the process as set forth in said **ACT.**

I further understand and agree that my failure to comply with all provisions of said **ACT,** if registration is granted, may result in myself being subject to criminal prosecution including fines and/or imprisonment.

In consideration of being accorded the opportunity to obtain registration status, I further agree that this application and the registration, if granted to me, and said **ACT** and any rules and standards of conduct for **AGENTS** promulgated by the **COMMISSION** shall constitute a contract between the **COMMISSION** and myself.

**ANSWER ALL QUESTIONS COMPLETELY**

**1. General:**

a. Have you ever been known by any other name or surname?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ If your answer is "YES" stated all names used and when so used:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If a married woman, please provide your maiden name:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Your date of birth \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

c. Place of birth \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

d. Name of your spouse \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

e. Name and address of spouse's employer:

f. Does spouse have any business relationship with any professional sport or professional sports team? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

g. If your answer is "yes," provide the details of said relationship:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**2. Education:**

a. High School Attended:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

b. Colleges or Universities attended:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

c. Law or other graduate schools attended:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (city & state)

(date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(degree)

**3. Current Occupation/Employment:**

a. I am currently: (check one)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Employed by:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (address)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(telephone) (nature of business)

(dates)

b. If self-employed, state:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (address)

(telephone)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of business)

(dates)

c. Other employment last two years immediately preceding date of application:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (position)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(address) (dates)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name) (position)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(address) (dates)

**4. Lawyers and Law graduates:**

a. Bar membership in any jurisdiction:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(jurisdiction)

(date admitted)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(jurisdiction)

(date admitted)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(jurisdiction)

(date admitted)

b. Bar admission applications pending:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(state) (status)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(state) (status)

c. Have you ever been disbarred, suspended, reprimanded, censured or otherwise disqualified to practice law, or as a member of any other profession, or as a holder of public office? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer was "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of action) (date of occurrence)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name and address of imposing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of action) (date of occurrence)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name and address of imposing authority)

d. Are any charges or complaints currently pending against you regarding your conduct as a member of a profession or as a holder of public office? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer was "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of complaint)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name and address of policing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of complaint)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name and address of policing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(nature of complaint)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name and address of policing authority)

e. Has your right to practice your profession before any governmental office, bureau, agency, commission, etc., ever been disqualified, suspended, withdrawn, denied or terminated? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then specify in detail the circumstances and dates surrounding these occurrences:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**5. Other applicants:**

a. Are you a member of any professional organizations which directly relate to your occupation or profession?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name of organization)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name of organization)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(name of organization)

b. List any occupational or professional licenses or similar credentials you have obtained other than college or graduate school degrees:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(credentials)

(date obtained)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(credentials)

(date obtained)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(credentials)

(date obtained)

c. Have you ever been denied an occupational or professional license, franchise or other similar credentials for which you have applied? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then explain fully:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

d. Have you ever been suspended, reprimanded, censured or otherwise disciplined or disqualified as a member of your profession, or other profession, or as a holder of public office? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Action)

(date of occurrence)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name and address of imposing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Action)

(date of occurrence)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name and address of imposing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Action)

(date of occurrence)

e. Are any charges or complaints currently pending against you regarding your conduct as a member of a profession or as a holder of public affairs? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Complaint)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name and address of imposing authority)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Complaint)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name and address of imposing authority)

f. Has your right to engage in any profession or occupation ever been disqualified, withdrawn or terminated? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then specify in detail the circumstances and dates surrounding these occurrences:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**6. For all applicants:**

a. Have your ever been convicted of or pleaded guilty to a criminal charge other than minor traffic violations ($50.00 or less)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Crime convicted of) (Date) (Place)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Crime convicted of) (Date) (Place)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Crime convicted of) (Date) (Place)

b. Have your ever been a defendant in any civil proceeding, including bankruptcy, in which allegations of fraud, misrepresentation, embezzlement, misappropriation of funds, conversion, breach of fiduciary duty, forgery or legal malpractice were made against you? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

c. Have you ever been sued by any athlete for any reason?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of proceeding) (Date) (Outcome)

d. Have you ever been involved with, or abetted, or assisted, any student at any NCAA institution, in any violation of NCAA rules as they pertain to amateurism, and specifically professional agents? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes," attach a written, detailed explanation.

e. Have you ever been adjudicated insane or legally incompetent by any court?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Proceeding) (Date)

(Name of Court)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Proceeding) (Date)

(Name of Court)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Nature of Proceeding) (Date)

(Name of Court)

f. Have you ever been covered by a surety on any type of bond? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of surety bond) (Amount)

(Date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of surety bond) (Amount)

(Date)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Type of surety bond) (Amount)

(Date)

g. Has any surety or any bond on which you were covered been required to pay any money on your behalf? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Circumstance) (Date)

(Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Circumstance) (Date)

(Outcome)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Circumstance) (Date)

(Outcome)

h. Are there any outstanding judgments against you? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" then describe:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Court) (Date of Judgement)

(Amount)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Court) (Date of Judgement)

(Amount)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Court) (Date of Judgement)

(Amount)

**7. Prior experience of training as AGENT:**

a. Drafting contracts? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Detail experience:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Contract negotiations? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Detail experience:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

c. Complaint resolution? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Detail experience:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**8. Professional sports experience:**

a. List the names of all athletes you are presently representing or have represented in the past in individual contract negotiations indicating the dates and professional sports teams:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Do you manage, invest or in any manner handle funds for athletes? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If your answer is "yes" are you bonded?

Please provide details as to the amount of bond, type of bond, name and address of surety or bonding company:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

c. State the address and telephone number where your business as agent is to be conducted.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Address) (Telephone)

d. State your residence address and phone number.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Address) (Telephone)

**I SUBMIT THE ABOVE PERSONAL AND CONFIDENTIAL INFORMATION, KNOWING IT CAN AND WILL BE USED FOR VERIFICATION BY THE AUTHORIZED DESIGNATED STATE OF ALABAMA OFFICIAL. THE ABOVE INFORMATION IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF. I FURTHER KNOW THAT IF ANY OF THE ABOVE INFORMATION IS FALSE, FRAUDULENT OR PURPOSELY ERRONEOUS, THIS APPLICATION, AND ANY FUTURE APPLICATIONS I MAY SUBMIT TO THIS AUTHORITY COULD BE DISAPPROVED, AND, FURTHER, THAT I MAY BE SUBJECT TO PROSECUTION IN THE STATE OF ALABAMA FOR VIOLATION OF ß 8-26-1, et seq., Code of Alabama, 1975.**

**ACKNOWLEDGEMENT**

|  |  |  |
| --- | --- | --- |
| STATE OF ALABAMA  JEFFERSON COUNTY | )  )  )  ) |  |

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, being duly sworn say that I have read the foregoing questions and have personally answered the same fully and honestly and the answers to said questions are true to my knowledge.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of applicant

Sworn and subscribed to before me this \_\_\_\_\_ day of

\_\_\_\_\_\_\_\_\_\_, 19\_\_\_\_\_.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notary Public

My commission expires: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_